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Publication Date: July 2006



A Practitioner's Guide to EU Financial Services Directives

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41 of the 42 measures contemplated by the Financial Services Action Plan (FSAP) were finalised by the end of 2005. Although the adoption of such a substantial body of legislation is undoubtedly a success in legislative procedural terms, the real test of the FSAP will be the extent to which it achieves the creation of a single European market in financial services. This remains to be seen.

Notwithstanding the completion of the FSAP, increases in regulation of the banking and financial services sector look set to continue. The Commission's recent White Paper on Financial Services Policy (2005-2010) lays out the proposed agenda for the next 5 years. Although the emphasis is on the operation of existing legislation and structures, the Commission has indicated that a further review of FSAP measures will be completed by 2009 and those aspects of the legislative framework that are not working properly will either be modified or replaced. This reflects the fact that the European financial services sector continues to operate in a rapidly changing regulatory environment.

The 2nd Edition of this best selling title, *A Practitioner's Guide to EU Financial Services Directives*, offers a comprehensive review and update on the most important developments affecting financial services in the EU.

In all, some 17 directives impacting on financial services are covered in the book. Among these, the Markets in Financial Instruments Directive (MiFID) is potentially the biggest and most far-reaching EU directive to affect the financial services environment for the foreseeable future.

For many in the financial services sector, the range and volume of EU directives means that they are struggling to understand and apply a vast amount of new legislation.

This book provides a single volume resource that will enable anyone involved in financial services in the EU to prepare for and comply with EU legislation.

Freshfields Bruckhaus Deringer
Consultant Editor: Michael Raffan



A Practitioner's Guide to EU Financial Services Directives

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“The financial services sector has long been recognised as a priority for European policy makers. In 1999 the EU adopted a five-year financial services action plan (FSAP) to modernise the legislative framework under which financial services operate.

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Fabrice Demarigny, CESR's Secretary General, CESR

About the authors

Consultant Editor **Michael Raffan** is a partner at Freshfields Bruckhaus Deringer and head of the firm's financial services practice. He specialises in financial services, banking and securities regulation and acts for regulators, market infrastructure providers, banks, investment banks, securities dealers and other market participants.

The other contributors are all members of Freshfields Bruckhaus Deringer's regulatory practice and include leading practitioners Mark Kalderon, David Rouch, James Smethurst and Annabel Sykes. All have extensive experience of EU regulatory and compliance issues.

Why you should buy this book

The avalanche of EU directives that has flowed from the Financial Services Action Plan means that the regulatory framework for EU financial services institutions is being transformed.

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