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UPDATED**

City & Financial Publishing

A Practitioner's Guide to The FSA Regulation of Investment Banking



Second Edition

Consultant Editor: Simon Gleeson, Allen & Overy LLP

Since *A Practitioner's Guide to The FSA Regulation of Investment Banking* was first published in 2002, the regulatory environment in which investment banks operate has continued to undergo significant change. This new edition has been particularly revised in light of the implementation of the Prospectus Directive and also the advent of the new Market Abuse Regime. The process of implementing the Market Abuse Regime and the Prospectus Directive has included significant amendments to the Code of Market Conduct, the Listing Rules and the Conduct of Business rules, which are now covered in some greater depth.

Additionally, a new chapter on hedge funds reflects the growth of the hedge fund market and its increasing importance within corporate finance.

A Practitioner's Guide to The FSA Regulation of Investment Banking is intended as a guide to the changes affecting corporate finance regulation generally but with an emphasis on the investment banking sector. It is written by a team of leading practitioners and will provide practical insight into the implications of the regulatory environment for a wide range of corporate finance activities.

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About the consultant editor

Simon Gleeson joined Allen & Overy in 1998 and became a partner in 2001. He obtained an MA Hons from St Andrews University before going on to get his LLB from the University of London. He qualified as a barrister in 1991 and was admitted as a solicitor in 1995. Simon is a partner in the financial services regulatory team based in London. He is a former stockbroker who has particular expertise in the regulation of the securities and financial markets and also specialises in custodianship, investment vehicles and bank capital regulation. He was on secondment to the FSA in 1999 and early 2000, assisting with preparation of the new market abuse regime in the UK.

Who needs this book?

Packed with practical guidance this book is essential reading for:

- Investment bankers
- Lawyers in professional practice
- In-house lawyers
- Finance and corporate development directors
- Company secretaries
- Stockbrokers
- Accountants
- Other finance professionals

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- Practical commentary and interpretation on all the recent regulatory changes.
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- Coverage of developments in all areas of corporate finance including equity issues and trading, private M&A transactions and cross-border activity

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